



2. What is the procedure for receiving food shipments? (sect. 166) Are temperatures checked and containers inspected for damage? \_\_\_\_\_  
 What is the anticipated frequency of food deliveries for: Frozen \_\_\_\_\_ Fresh \_\_\_\_\_ Dry \_\_\_\_\_
3. Is your facility required to have pasteurized products? (sect. 153) Yes \_\_\_ No \_\_\_
4. Do you intend to make low-acid or acidified foods and intend your products to be shelf stable? If so, have you passed the Better Process and Control School exam? (sect. 143) Yes \_\_\_ No \_\_\_ NA \_\_\_ *Please include a copy of the certification.*
5. Do you intend to make reduced oxygen packaged (ROP, def. 73) foods? (sect. 195) Yes \_\_\_ No \_\_\_  
 If yes, please list out the ROP foods. \_\_\_\_\_

**FOOD PREPARATION**

6. If foods are prepared a day or more in advanced, please list them out. \_\_\_\_\_  
 \_\_\_\_\_
7. Will any food be prepared/stored offsite? Yes \_\_\_ No \_\_\_  
 If so, list the foods and the location where they will be prepared: \_\_\_\_\_  
 \_\_\_\_\_
8. What will be your procedure to prevent employees from touching foods that are ready-to-eat and will not be cooked or heat treated (such as, sushi, lettuce, buns, etc.)? (sect. 171)  
 \_\_\_\_\_  
 \_\_\_\_\_
9. Describe your date marking system (described under sect. 191) for potentially hazardous (defined under sect. 66) ready-to-eat foods (defined under sect. 72). (sect. 191)  
 \_\_\_\_\_  
 \_\_\_\_\_
10. Will all produce be washed prior to use? (sect. 175) Yes \_\_\_ No \_\_\_ NA \_\_\_  
 If no, why? \_\_\_\_\_
11. Describe the procedure to minimize the amount of time potentially hazardous foods will be kept in the temperature danger zone (41°F-135°F) during preparation. (sect. 189)  
 \_\_\_\_\_  
 \_\_\_\_\_

12. Provide a list of the types of food that will need to be thawed before cooking. (sect. 199)

PROCESS	TYPES OF FOOD
Refrigeration	
Running water less than 70°F	
Microwave as part of the cooking process	

Cook from frozen	
Other (describe)	

13. Provide a list of the types of food that will need to be cooled (eg. leftovers). (sects. 189, 190)

PROCESS	TYPES OF FOOD
Shallow pans under refrigeration	
Ice and water bath	
Reduced volume (quartering a large roast)	
Ice paddles	
Rapid chill devices (blast freezer)	
Other (describe)	

14. What procedures will be in place to ensure that foods are reheated to 165°F or above? (sect. 188)

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15. Will a buffet be served? Yes \_\_\_ No \_\_\_ NA \_\_\_ If yes, who will be responsible for ensuring that the buffet is protected from consumer contamination? (sect. 181) \_\_\_\_\_

16. Will food be catered to other locations? Yes \_\_\_ No \_\_\_ If yes, how will the food be transported?

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17. If your food establishment is temporary or mobile, will your establishment have a commissary? Yes \_\_\_ No \_\_\_  
If yes, provide the name and location of the commissary.

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*Please provide a copy of the approval from the commissary.*

### **HOT AND COLD HOLDING**

18. Will "Time as a Public Health Control" (see sect. 193) be used for potentially hazardous food(s) (either hot or cold)?  
Yes \_\_\_ No \_\_\_ NA \_\_\_ *Note: These procedures must be submitted and approved before their use.*

19. Will raw animal food(s) will be offered to the public in an undercooked form (sushi, rare hamburgers, eggs over easy, made from scratch Caesar dressing, etc.)? Yes \_\_\_ No \_\_\_ NA \_\_\_ If so, please attach your consumer advisory statement. (sect. 196)

20. Whom (line cook, kitchen manager, etc.) will be assigned the responsibility of taking food temperatures and at what steps will temperatures be taken (cooking, cooling, reheating, and hot holding)? (sect. 119)

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21. Describe how cross-contamination of raw meats and ready-to-eat foods will be prevented in a refrigeration unit(s) (i.e. walk in coolers, under the counter coolers). (sect. 173)

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22. Describe the storage of different types of raw meat and seafood in the same unit, and how cross-contamination will be prevented. (sect. 173)

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**SANITIZATION**

23. Who will be assigned the responsibility of ensuring the correct amount of sanitizer will be used? (sect. 119)

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24. What type of chemical sanitizer(s) will the facility use? (sect. 294) \_\_\_\_\_

25. Will the facility have test kits/papers on site for all types of chemical sanitizers? (sect. 291)

Yes \_\_\_ No \_\_\_ NA \_\_\_

26. How will cooking equipment, cutting boards, counter tops and other food contact surfaces which cannot be submerged in a sink or put through a dishwasher be sanitized? (sect. 303)

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**POISONOUS OR TOXIC MATERIALS AND PERSONAL CARE ITEMS**

27. Where will poisonous or toxic materials be stored (including the ones for retail sale)? (sect. 439)

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28. Will the facility use a hand sanitizer? (sect. 131) Yes \_\_\_ No \_\_\_ If so, what brand? \_\_\_\_\_

29. Will the facility ensure that insecticides and rodenticides are "Approved for Use in Food Establishments" and that they are applied in a safe manner? (sect. 119) \_\_\_\_\_

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30. Will all spray bottles be clearly labeled? (sect. 438) Yes \_\_\_ No \_\_\_

31. Where will first aid supplies be stored? (sect. 421) \_\_\_\_\_

**MISCELLANEOUS**

32. Will any part of the retail food establishment open directly into any part of any living or sleeping quarters? (sect. 423) Yes \_\_\_ No \_\_\_ NA \_\_\_

33. Has the facility registered or applied for a permit from the regulatory authority? (sect. 107) Yes \_\_\_ No \_\_\_

34. Will any cleaning supplies, single service articles/utensils, or equipment be stored at another location while not in use? Yes \_\_\_ No \_\_\_ If yes, list the items and the location where they will be stored. \_\_\_\_\_

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*The following list of questions should be generally completed by the architect/contractor/engineer*

**WAREWASHING/DISHWASHING**

35. Dishwashing methods (sect. 269) (check one or both): 3 Compartment Sink \_\_\_\_ Dishmachine \_\_\_\_

36. If a 3 compartment sink is used, which sanitizing method will you use: Hot Water \_\_\_\_ Chemical \_\_\_\_

37. If a dishmachine is used, which sanitizing method will you use: Hot Water \_\_\_\_ Chemical \_\_\_\_

If hot water, do you have a booster heater? Yes \_\_\_\_ No \_\_\_\_ NA \_\_\_\_

If hot water, how will you ensure that the unit is sanitizing the utensils? (sects. 258, 303) \_\_\_\_\_

38. Does your chemical dishmachine have an alarm that indicates when more chemical sanitizer needs to be added? (sect. 281) Yes \_\_\_\_ No \_\_\_\_

39. What type of alarm will be used to detect when the sanitizer is too low? Sound \_\_\_\_ Visual \_\_\_\_

40. Can the largest piece of equipment be submerged into the 3 compartment sink or dishmachine? (sect. 233)  
Yes \_\_\_\_ No \_\_\_\_ NA \_\_\_\_

41. Does the facility plan to use alternative manual warewashing equipment? (sect. 233) Yes \_\_\_\_ No \_\_\_\_ NA \_\_\_\_  
*If yes, please submit your procedure for review.*

42. Does your facility have enough drainboards/utensil racks/carts for the air drying of equipment and utensils for either the 3 compartment sink or the dishmachine? (sect. 289) Please describe below.  
\_\_\_\_\_  
\_\_\_\_\_

**WATER SUPPLY**

43. Is the water supply public (\_\_) or private (\_\_)? If public, skip question #2.

44. If private, has the source been tested? (sect. 327) Yes \_\_\_\_ No \_\_\_\_

If so, when was the last test \_\_\_\_\_ and did you send us a copy of the lab results? Yes \_\_\_\_ No \_\_\_\_

45. If your food establishment is temporary or mobile, from where will the water be obtained?  
\_\_\_\_\_

**WASTE WATER/SEWAGE DISPOSAL**

46. Is the sewage disposal system public (\_\_) or private (\_\_)? If public, skip question #2.

47. Has the waste treatment system been approved by the state or local septic inspector? (sect. 376) Yes \_\_\_\_ No \_\_\_\_  
*Please provide a copy of the approval.*

48. If your food establishment is temporary or mobile, describe the method(s) of sewage disposal, and where the wastewater/sewage will be disposed of. \_\_\_\_\_  
\_\_\_\_\_

**PLUMBING**

49. Are hot and cold water fixtures provided at every sink? (sect. 330) Yes \_\_\_ No \_\_\_

50. If a water supply hose is to be used for potable water, is it made from food-grade materials? (sect. 364)

Yes \_\_\_ No \_\_\_

51. What is the recovery time, volume, and capacity of the hot water heater? (sect. 329) \_\_\_\_\_

52. The following technical information is needed on the proposed plumbing. This section is best completed by a licensed plumber, or engineer. (sect. 336)

Fixture	Water Supply					Sewage Disposal		
	AVB	PVB	VDC	HB	Air Gap	Air Break	Air Gap	Direct Connect
Dishwasher								
Ice Machine(s)								
Mop/Service Sink								
3 Compartment Sink								
2 Compartment Sink								
1 Compartment Sink								
Hand Sink(s)								
Dipper Well								
Hose Connections								
Asian Wok/Stove								
Toilet(s)								
Kettle(s)								
Thermalizer								
Overhead Spray Hose								
Other Spray Hose(s)								
Other:								
Other:								
AVB=Atmospheric Vacuum Breaker				HB=Hose Bib Vacuum Breaker				
PVB=Pressure Vacuum Breaker				VDC=Vented Double Check Valve				

53. Has contact been made to the municipality to determine if a grease trap is required? Yes \_\_\_ No \_\_\_ NA \_\_\_

54. What would be the frequency of cleaning for the grease trap? (sect. 378) \_\_\_\_\_

**HANDWASHING/TOILET FACILITIES**

55. Handwashing sinks are required in each food preparation and dishwashing area. (sect. 344)

How many handsinks will be provided? \_\_\_\_\_

56. Are all toilet room doors self-closing where applicable? (sect. 352) Yes \_\_\_ No \_\_\_

57. Are all toilet rooms equipped with adequate ventilation? (sect. 309) Yes \_\_\_ No \_\_\_

**ROOM FINISH SCHEDULE (What the interior of the facility will look like.)**

58. Please indicate which materials (i.e. quarry tile, stainless steel=SS, plastic cove molding, etc.) will be used in the following areas. (sect. 402)

AREA	FLOOR	COVING	WALL	CEILING
KITCHEN				
CONSUMER SELF SERVICE				
SERVING LINE				
BAR				
FOOD STORAGE				
OTHER STORAGE				
TOILET ROOMS				
GARBAGE STORAGE				
MOP/SERVICE SINK AREA				
DISHWASHING				
OTHER				
OTHER				

**PERSONAL BELONGINGS**

59. Are separate dressing rooms/lockers provided? (sect. 417) Yes \_\_\_ No \_\_\_ NA \_\_\_

60. Describe the storage location for employees' coats, purses, medicines and, lunches. (sects. 418, 422)

61. Where is the designated area for employees to eat, drink, and use tobacco? (sect. 136) \_\_\_\_\_

**EQUIPMENT**

62. Will all of the equipment meet the design and construction for the American National Standards Institute (ANSI) standards or meet section 205? Yes \_\_\_ No \_\_\_

63. Will the utensils and food storage containers be made from food-grade quality materials? (sect. 205) Yes \_\_\_ No \_\_\_

64. Will any pieces of used equipment be utilized? (sect. 106) Yes \_\_\_ No \_\_\_ NA \_\_\_

If so, please list equipment types: \_\_\_\_\_

65. Is the ventilation hood system sufficient for the needs of the facility? (sect. 307) Yes \_\_\_ No \_\_\_ NA \_\_\_
66. Will all of the equipment used for the storage of potentially hazardous foods be able to meet the minimum temperature requirements (frozen food 0°F, cold food 41°F, hot food 135°F)? Yes \_\_\_ No \_\_\_ NA \_\_\_
67. Please list equipment types for the hot and cold holding of foods; also during serving or transporting. (sect. 187)
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68. Will each refrigeration unit have a thermometer? (sect. 256) Yes \_\_\_ No \_\_\_
69. What types of counter protective guards for food (sneeze guards) will be used for consumer self-service? (sect. 179)
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**INSECT AND RODENT HARBORAGE**

70. Will all outside doors be self-closing, when applicable, and rodent/insect proof? (sect. 413) Yes \_\_\_ No \_\_\_
71. Will screens be provided on any open windows/doors to the outside? (sect. 413) Yes \_\_\_ No \_\_\_
72. Will air curtains be installed (made from either plastic or mechanical); if so, where on outer openings? (sect. 413)
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73. Will all pipes and electrical conduit chases be sealed (i.e. ventilation systems, exhaust and intake be protected)? (sect. 414) Yes \_\_\_ No \_\_\_
74. Is the area around the building clear of unnecessary debris, brush, and other harborage conditions? (sect. 426) Yes \_\_\_ No \_\_\_
75. Do you plan to use a pest control service? Yes \_\_\_ No \_\_\_ Frequency \_\_\_\_\_ Company \_\_\_\_\_

**REFUSE AND RECYCLABLES**

76. Describe the surface (for refuse/recyclables) that the outside dumpster will be located on? (sect. 382)
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77. Where will recyclables be stored prior to pick-up? \_\_\_\_\_

**LIGHTING**

78. What are the foot candles of light for the following areas? (sect. 411)
- Food prep areas \_\_\_\_\_ Dishwashing areas \_\_\_\_\_
- Dry storage areas \_\_\_\_\_ Restrooms and walk-in refrigeration units \_\_\_\_\_
79. Will light bulbs be properly shielded, coated, or otherwise shatter-resistant in areas where there is exposed food, clean equipment or utensils, or food preparation? Yes \_\_\_ No \_\_\_